



# JOHN B. HATTENDORF CENTER *for* MARITIME HISTORICAL RESEARCH

ANNUAL REPORT 2020-2021



U.S. NAVAL WAR COLLEGE

*John B. Hattendorf Center for  
Maritime Historical Research*

## JOHN B. HATTENDORF CENTER FOR MARITIME HISTORICAL RESEARCH

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## ABOUT THE HATTENDORF HISTORICAL CENTER

### “HELPING THE US NAVY PROFIT FROM THE PAST”

Naval and maritime history is about more than what has happened; it is also a lens through which to view the present and anticipate the future. We process and interpret the experience of the past and make it available to the Naval War College and the US Navy in general as a valuable source of reflection and comparison as policy is made and operations planned. Knowing what happened explains the present and raises critical questions and insights about what remains the same and what is likely to be different for the present and future.

Since its founding in 1884, the mission of the Naval War College has been to educate and to develop future leaders by building strategic insight and enhancing their capacity to advise senior policy-makers. From the beginning, the critical study of naval history in all its forms has been an important part of this. In an age which is volatile, uncertain, complex, and ambiguous, an enhanced depth of understanding increases the capacity of naval professionals rapidly to react to new challenges, to make the right decisions and to lead effectively.

Moreover, by contributing to the sea-mindedness of the American public, we aim to assure the Navy of a more sympathetic hearing as it develops its future plans and requirements. As a center of excellence within the College of Leadership and Ethics, the Hattendorf Historical Center supports the mission of the Naval War College and its national and international outreach.

Re-invented and reinvigorated in 2017, the Hattendorf Historical Center at Newport is an informal grouping of three mutually supportive elements:

- 1 Naval War College Archives and the Naval Historical Collection
- 2 The Naval War College Museum
- 3 Academic Research and Scholarship

In the campaign to demonstrate the value of naval history for professional naval thinking and strategic and operational planning, our success derives from the efficient, if informal integration, synergy, and close proximity of the Historical Collection, the Museum, and a group of committed historians..

In common with all other academic institutions, the Center has had to cope with the challenges presented by the COVID pandemic. These have inevitably curtailed our activities in AY 2020-1, although it did offer us some new (if virtual) opportunities.

## NAVAL HISTORY COLLECTION ARCHIVES

A full review of the activities of the Naval Historical Collection Archive prepared by Stacie Parillo, the Head Archivist, is available at Appendix I. The progress made, given the pandemic and the restructuring of Mahan Hall that perforce took place at the same time is remarkable. Accordingly, here we will merely record that during a difficult year, HHC faculty were able to benefit through access to the riches of this collection to the extent that circumstances allowed. They are extremely grateful to the hardworking Archive staff for facilitating this.

Amongst our endeavours in this regard Mark Fiorey conducted research in our historical collections on Airfield Reconnaissance. With the assistance of the NWC Archives, he was able to locate some valuable material related to his research. This new material will shed new light on the behind-the-scenes work conducted during the forthcoming Island- Hopping Campaign in the Pacific during the Second World War. Among others, U.S. Naval Reserve Captain Wilfred L. Painter of the Civil-Engineer Corps quietly won American victory in the global war at sea by scouting out advanced bases – often behind enemy lines – for the purposes of defeating the Axis enemy. For the first time, this new research will highlight Painter’s central role in the American victory at sea. As such this research will make a material contribution to the success of next year’s HHC conference on the strategic significance of the islands of the Pacific. For this Mark looked through the papers of Vice Admiral Milton Miles, Vice Admiral John H. Hoover and Rear Admiral Henry E. Eccles Papers concerning his research topic on Captain Wilfred L. Painter, CEC, USNR, for material on the ‘Painter expedition of 1944’ and the “Painter Board” on Army, Navy and Army Air Corps limits of construction in the Pacific Theatre in 1945.

In the light of their imminent move from Mahan Hall, Evan Wilson made a point of reviewing the Torpedo Station records before they left the premises. This was a scouting trip for a possible project with *Strategy and Policy* Professors Anand Toprani and Michael Dennis, on naval responses to challenging technology.

Geoff Till consulted the papers of Admiral Hart in his time as CinC, U.S. Asiatic Fleet, looking in particular for material on allied consultation between the US and British navies before the Japanese attack in 1941. This was in connection with his continuing fascination behind the broader story of the sinking of the *Prince of Wales* and *Repulse* in December 1941. This is in preparation for the putative edited NWC volume on the Pacific War edited by Sally Paine. More broadly, it illustrated the vulnerability of less than cohesive alliances to surprise attack.

With *Sound Military Decision* (EL 732), David Kohnen provided opportunity for practitioners to use original documentary sources from the Naval War College archives and museum collections to examine the literary and doctrinal foundations of American sea power. Building from classroom discussions, practitioners then drew from original documentary sources to

complete historical studies of topics, which are of contemporary interest. Broadly, themes ranged from fusing policy with naval strategy, operational planning, intelligence, and logistics. As in the history of the institution itself, practitioners then applied their studies in gaming experimentation. Professor Jon Scott Logel and Mark Fiorey contributed to this well-received elective, sources to complete historical studies of topics, which are of contemporary interest. Broadly, themes ranged from fusing policy with naval strategy, operational planning, intelligence, and logistics. As in the history of the institution itself, practitioners then applied their studies in gaming experimentation. Professor Jon Scott Logel and Mark Fiorey contributed to this well-received elective, John Hattendorf, already hugely expert in the contents of the archives, made extensive use of them for his *To the Java Sea: Selections from the Diary, Reports and Letters of Henry E. Eccles, 1940-42*, edited with Pelham Boyer, his forthcoming edition of the *Letters and Papers of Rear Admiral Stephen B. Luce, 1853-1917*, also edited with Pelham Boyer, and his update to *Sailors and Scholars: The History of the Naval War College, 1884-2009*.



## THE NAVAL WAR COLLEGE MUSEUM

The Chief of Naval Operations (CNO) approved the establishment of the Naval War College Museum in 1952 to manage the College’s historical artifacts, documents, photographs, models, and art. With no designated exhibit space, artifacts were displayed in cases in campus buildings. In 1976, when Naval Station Newport transferred its

Administration Offices out of Building 10, this historic structure, a National Historic Landmark, because of its association with Rear Admiral Alfred Thayer Mahan’s work and the original site of the Naval War College, building 10 was renovated and rededicated as “Founders Hall” on 26 May 1978. From 1978 to the present, Founders Hall has been the primary location for the Naval War College Museum. In October 2006, the museum was realigned as part of a CNO-directed consolidation of all Navy museums under the Naval History and Heritage Command.

The mission of the Naval War College Museum is primarily serving the public information objectives of the U.S. Navy and the educational objectives of USNWC—secondarily to support other Navy schools at Naval Station (NAVSTA) Newport—through both public education programs in naval history and programs supporting Navy education in its traditional uses of history as part of its curriculum. The functions and tasks of the Museum are to collect, preserve and interpret, through exhibition and public programs, the tangible objects comprising its artifact and art collections relating to:

- Key concepts in the evolution of maritime strategy and naval operations from antiquity to the present.
- The naval history of the Narragansett Bay Region from the colonial period to the present.
- The institutional history of the USNWC since its founding in 1884.
- The institutional history of NAVSTA Newport and its tenant commands as a center of naval education.

USNWC and NHHC both work to benefit the Museum by the management and disbursement of gifts donated to and for the Museum. Gifts provide a margin of excellence in support of the strategic institutional priorities identified by NHHC, USNWC, and the Museum.

Sadly, the Museum had to be closed for the period of the pandemic lockdown and its lecture program suspended.

### MUSEUM COLLABORATION WITH HHC

- Several times the HHC faculty assisted with or answered research requests that were sent to the museum.
- The museum and HHC faculty worked together to facilitate the donation by Lieutenant Ryan Weddle, USNR, of a portrait of Captain Tracy B. Kittredge, who had been the College’s first archivist in 1919-23 and who had earlier been a member of Admiral Sims’s staff in London in 1917-1919.
- Worked with HHC Faculty on several museum tours for special groups, such as the CNO Spouses tour of the museum and Newport during the International Seapower Symposium, and the annual colonial Newport walking tour, as well as tours for special guests and VIPs visiting NWC.
- Worked with HHC to record Professor Hattendorf while he led his colonial Newport walking tour.
- Provided access to the museum as a backdrop for interviews of HHC faculty.
- Collaborated with HHC Faculty on the Museum’s USS Constellation Anchor renovation project.
- Opened the “Five-Star” exhibit, which features the uniforms of the Fleet Admirals of the Second World War. This exhibition concept has been developed over a number of years. Through the research of HHC historians in conjunction with the curatorial team, the uniforms worn by the “Five-Star” thinkers of the Second World War have been pulled from deep storage and now appear together for the first time in over seventy-five years at the NWC Museum.

## RESEARCH AND SCHOLARSHIP

### INTRODUCTION

As part of its mission to advance the cause of naval history, the HHC operates a Working Group program in which all NWC faculty can submit works in progress for friendly and helpful peer review. The HHC also operates a Reading Group in which freshly published works in naval history are discussed. Whilst its research interests are paramount, the Center nonetheless engages in a great deal of teaching at the Naval War College.

### TEACHING

The Graduate Certificate in Maritime History Program (GCMH) offers students at the NWC the opportunity to engage in deeper historical research and analysis than would otherwise be possible, albeit at the cost of significant extra work over and above normal requirements. Students are required to take two appropriate Electives and write a 10,000-word dissertation on a subject of their choice. We aim specifically to develop interests and skills which they will take away with them, and which will encourage them to publish and engage in academic discourse long after they leave us. For our part we are encouraged by the level of interest and take-up by the student body.

The Supervisor and Co-Director (with Professor J. Dancy) of the Graduate Certificate in Maritime History (GCMH) for AY 2020-21 was Professor Evan Wilson. The following completed the extra commitments required for the (virtual) award of the GCMH in June 2021 by the President, NWC, together with the subjects of their dissertation:

- Lieutenant Colonel Aaron Bright, U.S. Army: “The Cannon’s Place in the Rise of the British Empire”
- Lieutenant Casimir Faye, Senegalese Navy: “Causes of the Decline of Sea Powers”
- Lieutenant Colonel Josh Fogle, US Air Force Reserve: “Advanced Base Defense Doctrine, War Plan Orange, and Preparation at Midway: Were the Marines Ready?”
- Alexia Gordon, Department of Defense civilian: “Artisans of African Heritage in Colonial and Early America”
- Colonel Cam Jackson, U.S. Army: “Tyre, Temple, and Trade: Social Imaginaries, Universal Infrastructure and the Emergence of an Archaic Maritime Identity”
- Major Yuliya Omarov, U.S. Marine Corps (completing Nov 2021): “Deconstructing Heroism”
- Commander Josh Weiss, USN: “Mission Command in the Age of Sail”

As remarked, one of the aims of the GCMH and our other teaching efforts is to encourage the promulgation of naval historical research. Accordingly, we encourage our students to continue their interests after they have left us and to seek publication of their work. We were therefore pleased that Andrew Rhodes, after our Elective program, has followed up his historical interests with two articles ‘Same Water, Different Dreams: Salient Lessons of the Sino-Japanese War for Future Naval Warfare’ in *The Journal of Advanced Military Studies* (Vol

11, No 2, Fall 2020) and ‘The 1988 Blues’ in the *Naval War College Review*, Spring 2021. That same war was also a major focus of interest for Jon Welch who is preparing his examination of espionage before and during it for eventual publication as a book. At the time of writing, an article by the GCMH’s top student for the year, Josh Weiss, based on his dissertation about mission command in the Napoleonic era, is under review for publication in the *Naval War College Review*.

### OTHER TEACHING

HHC faculty also contribute to college wide teaching of students who are not in the GCMH program, principally through the provision of Electives, other courses and individual guest appearances in other courses at the NWC.

### ELECTIVES

- 'Sound Military Decision: Foundations in U.S. Naval Operations Doctrine and the Global Maritime Arena' (EL 732) (DK)
- 'The “Great War:” The First World War and American Sea Power' (EL 750)(DK)
- 'War at Sea in the Age of Sail' (EL 770) (EW)
- 'The Development of Maritime Power' (SE 732) (GT)!
- 'The “Great Game” Revisited: The Influence of History Upon Sea Power and the Greater Middle East' (EL 757)(DK)
- 'Film War and Society in America,' (EL 781) (MF)
- “Cyber Seas:” U.S. Navy Command in a Wireless World (EL 778)(DK)

### OTHER COURSES

- 'Vietnam—A Long War, from Conflict to Country.!(SE 579) (MF)
- Leadership in the Profession of Arms (LPA) Courses, Fall 2020 SLC-4, Winter 2021 SLC-3, Spring 2021 ILC-9. (MF)
- Lectures on maritime strategy and the Belt and Road Initiative for the NWC ‘Advanced Strategy Program.’ Oct 2020 (GT).
- Lectures on maritime strategy to NWC JMO Dept Jan 2021 (GT).

## ENGAGEMENT AND OUTREACH

The continued success of the US Navy is in part dependent on the support of the sea-mindedness of the public and the ‘political nation.’ Despite its evident value for this, as well as for increasing our general understanding of the importance of the sea in human development, naval history is in a fragile state nationally. Few other places in the country dedicate themselves to it. Accordingly, the Hattendorf Historical Center needs to do everything it can to support and develop what we have nationally by engaging in academic discourse, nationally and internationally. To that end, HHC faculty are encouraged to give papers and otherwise participate in a wide variety of workshops and conferences, to give lectures externally, to examine sea-related PhD theses, dissertations and to provide

advice and guidance for individuals and organizations with such interests. We believe this also redounds to the credit of the United States in general and of the NWC in particular consolidating its reputation as a center of academic excellence in naval history and sea-related studies.

Details are provided in Appendix II, but in AY 2020-21 HHC faculty gave thirty-eight External Lectures, Interviews or Conference and Workshop papers on a very wide range of historical and contemporary naval topics.

It is also important to the achievement of the HHC’s aim that we attract key, outside scholars to the Center for set periods of time and, importantly, through growing our subject by the appointment of new, younger scholars. Towards the end of AY 2020-21, we were glad to be able to recruit Associate Professor Ryan Wadle. He came to us from the Air University’s eSchool of Graduate Professional Military Education. Author of *Selling Sea Power: Public Relations and the U.S. Navy, 1917-1941* from the University of Oklahoma Press, he is currently working on a biography of Admiral Harry Yarnell. Ryan’s full biography is in Appendix V.



Professor Ryan Wadle

AY 2020-21 also saw the end of Professor Craig Symond’s three-year tenure of the Ernest J. King Distinguished Visiting Chair of Maritime History. Sadly, Craig left us in July 2020. He was replaced for the year 2020-21 by Professor John Kuehn, a senior Professor at the Army Command and Staff College at Fort Leavenworth. John’s haul down report is at Appendix III. Despite a presence with us that was inevitably ‘virtual,’ we were gratified that after a busy and productive year, he concluded that,

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*“THE HATTENDORF HISTORICAL CENTER PERFORMS A VITAL DUTY TO THE NAVY AND IS UNIQUE AMONG PROFESSIONAL MILITARY EDUCATION INSTITUTIONS IN ITS FUNCTIONS AND VALUE. IT IS ESPECIALLY VALUABLE TO THE LARGER U.S. NAVY AS AN ADVOCATE FOR NAVAL HISTORICAL RESEARCH, BOTH FOR APPLIED HISTORY AS WELL AS THE INHERENT VALUE OF MORE TRADITIONAL FORMS OF SCHOLARSHIP.”*

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In his farewell to the Dean CLE and the HHC, John underlined his feeling that,

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*“THIS ALL-TOO-BRIEF TENURE REPRESENTS THE PINNACLE OF MY CAREER AS A HISTORIAN TO THIS POINT, AND THAT IT WAS IN THE US NAVY MADE IT ONLY THAT MUCH MORE VALUABLE.”*

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We were also able to attract Cdr Christopher Green Vaccarezza from the Chilean navy as a Fellow of the HHC for the year 2020-1. Chris was a particularly impressive graduate of the GCMH cohort of the preceding year. His full report is attached as Appendix IV. Despite the limitations imposed by the pandemic we gained a lot from his presence and the following extract suggests that this was reciprocated.

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*“TO SAY THAT THE LAST YEAR WAS ONE OF THE HIGHLIGHTS OF MY NAVAL CAREER WOULD FALL SHORT. I HAD THE LIFETIME OPPORTUNITY TO INTERACT WITH PROBABLY THE MOST DISTINGUISHED GROUP OF SCHOLARS I HAVE EVER MET.”*

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The report shows that Chris went on from us to write an extended version of his GCMH thesis for the Athena Lab in Chile and to teach at the Chilean Naval Academy before taking command of one of the submarines of the Chilean Navy.



Cdr Christopher Green Vaccarezza



Professor John Kuehn

The interactions of course were a two-way street. In their task of encouraging the development of naval history and history-based naval strategic studies, the faculty in the Research and Scholarship element of the Hattendorf Historical Center derived significant benefit from the presence (even if largely virtual) and varied contributions of our visitors.

## PUBLICATIONS

Successful publication is another important task of the academics in the Research and Scholarship branch of the HHC since this advances the maritime cause and redounds to the academic credit and reputation of the NWC. Individual details of published and submitted work for AY 2020-21 are provided in Appendix II. In sum, HHC faculty published or submitted for publication two books, sixteen book chapters, fourteen articles, fifteen published book reviews and a number of blind, unpublished peer reviews of chapters and book proposals and six other publications of various sorts.

The breadth as well as depth of the faculty’s maritime interests is evident in the details provided in Appendix II. It will be seen that these products of history- based scholarship are of direct relevance to the contemporary policy issues faced by the US and other navies. As such, it underlines the importance of our mission to help the US Navy, when preparing for the present and the future, to profit from the past.

## CONFERENCES AND EVENTS

Because of the COVID pandemic, the HHC was not in a position to hold any conferences or workshops this year. Instead, effort was devoted to the organization holding of two major conferences in AY 2021-22, one of which ‘Islands and Pacific Strategy’ is to be held in March 2022 and a second, with Yale University is to be held in April 2022. Both of these have attracted widespread interest and support, nationally and internationally, not least because of their possible implications for today’s strategic interests.

More light-heartedly celebrating the past, Mark Fiorey and the HHC organized the 4th Annual “Cardines Classic,” a WWI-era Army vs Navy baseball game on Friday, September 10, 2021, at historic Cardines Field in Newport. We worked closely with Naval History and Heritage Command, Naval War College Foundation, and the City of Newport. The Army-Navy baseball game was played by students from the Naval War College outfitted in period-accurate uniforms. As an in-person event it did wonders for morale and for NWC collegiality.



## FELLOWSHIPS AND PRIZES

The award of fellowships and prizes are chiefly significant as indicators of the esteem with which staff and faculty are regarded. In this connection we are happy to record that Ryan Wadle won the Vandervolt Prize 2021 of the Society of Military History for his article ‘Failing to Speak the Same Language: the Roots of ‘Jointness in the United States 1918-1941’ in the 84th edition of *The Journal Of Military History*.

Also, together with William P. Leeman, John B. Hattendorf won ‘Honorable Mention’ in the John Lyman Book Awards given by the North American Society of Oceanic History in the category of “Best book in U.S. Naval History during 2020.” Their edited book *Forging the Trident: Theodore Roosevelt and the United States Navy* was the result of a cooperative project between HHC and the History Department of Salve Regina University. It involved a conference with a number of HHC and other NWC faculty members contributing.

Josh Weiss won the award for best student in the Hattendorf Historical Center’s Graduate Certificate in Maritime History from the Rhode Island Society of the Order of Founders and Patriots of America, for his dissertation on ‘Mission Command in the Days of Sail’.

David Kohnen received an illuminated certificate for his presentation at the New York Yacht Club about the role of Women Accepted to Emergency Volunteer Service (WAVES) in the capture of German submarine, U-505, just two days before the landings at Normandy in the Second World War

No Miller Fellowships were awarded for this year.



## DISTINGUISHED VISITORS

Because of the lock-down the HHC received no distinguished visitors for the year.

## CONCLUSION

Without doubt AY 2020-21 was a difficult year for the HHC as for everyone else. The staff and faculty of the HHC had to adapt to challenging circumstances both individually and institutionally. We shared the common need to rethink our priorities and methods in this situation, particularly in developing the capacity to teach and to deliver our product virtually rather than in person. We discovered, however, that there was some advantage to be had amidst the general disadvantage. Technology came to our rescue to helpful degree. The challenge encouraged the spirit of camaraderie and of helping each other in adversity. Doing things virtually allowed us to increase the quantity of our engagements since it cut out much of the expense and time involved in travel. But there was undoubtedly a price to be paid for this in terms of depth and personal engagement. For this reason, both as teachers and researchers we look forward to a time when we can operate under more normal circumstances.

## APPENDIX I NAVAL HISTORICAL COLLECTION ARCHIVE

U.S. Naval War College/College of Leadership and Ethics

### NAVAL HISTORICAL COLLECTION STRATEGIC PLAN, FY21-23

#### FY21 REVIEW



Prepared by Stacie Parillo  
Head Archivist  
Revised: December 2021

Prepared by Stacie Parillo, Head Archivist

Naval Historical Collection Archives

### STRATEGIC GOAL 1: INTELLECTUAL CONTROL

**Deepen intellectual control as a method for better collection curation.**

Intellectual control is the creation of tools such as catalogs, finding aids, or other guides that enable researchers and staff to locate relevant material

**Action 1.** Create access to hidden collections for easy researcher access by inputting any existing inventories and creating inventories for those without. *Ongoing*

**Action 2.** Create DACS (Describing Archives Content Standard) compliant finding aids for unprocessed or minimally processed collections. *Ongoing*

**Action 3.** Research and input legacy accession information into ArchivesSpace in an attempt to ascertain which collections may be on loan from NWCF. *Completed: Summer 2021*

**Action 4.** Evaluate collections for deaccessioning or disposition to free up resources.

#### FY21 PROGRESS

Over 50 previously unknown collection inventories were discovered in Spring 2021 and uploaded into ArchivesSpace, creating a major leap forward in NHC's intellectual control and researcher accessibility. Please note: these statistics do not reflect the 390 cubic feet of unprocessed material located during the collection relocation in Summer 2021. Shifts in these metrics are expected as that material is further identified when the collection is returned.

<b>100%</b> of collections have at minimum, abstracts and locations recorded in ArchivesSpace.	<b>35.2%</b> of collections have no discovery tool. These collections are hidden to staff and researchers.	<b>1.5%</b> of collections have inventories ready to be uploaded.	<b>63%</b> of collections have detailed inventories in ArchivesSpace.
<i>"I know a little bit about everything."</i>	<i>"I'm aware of it, but I can't tell you anything about it."</i>	<i>"This isn't online yet. For now, I can only send it to you in an email."</i>	<i>Content is fully open for researcher access &amp; use.</i>
↓ 13 pts since FY20	↓ 12 pts since FY20	↑ 26 pts since FY20	

Naval Historical Collection Archives

### MISSION

To record the administrative and curricular activities of the College and to provide primary source material and research services in support of the College's learning, research, and teaching needs.

To carry out this mission, the NHC Archives commits to:

- Partner with other NWC departments to better educate the College community about the educational and research value of the Naval Historical Collection
- Develop ways to enhance access to collections to enable learning and research
- Work in partnership with NWC administrative and academic departments to preserve the activities and functions of the College through record-keeping
- Ensure that historical materials are preserved and stored safely
- Collaborate with fellow Navy and non-DoD archivists and records managers to share knowledge and enhance best practices
- Participate in the profession at large through conferences, board participation, or other professional activities

### VISION

The Naval Historical Collection Archives seeks to preserve the information and personal stories that address the critical issues of past and future naval warfare, joint planning at the strategic, operational, and tactical level, and the role of the U.S. Navy in the local, national, and global community.



Naval Historical Collection Archives

### STRATEGIC GOAL 2: COLLECTION MANAGEMENT

**Ensure the safe relocation of the NHC Archives collection to a secure storage facility in preparation of Mahan Hall's renovation.**

**Action 1.** Physically secure collections by boxing loose material, labeling containers, and assigning barcodes. *Completed: Summer, 2021*

**Action 2.** Intellectually secure collections by fleshing out metadata, completing collection-wide inventories, and recording locations. *Completed: FY20*

**Action 3.** Source a NARA-compliant vendor for move and storage. *Completed: Spring, 2021*

**Action 4.** Supervise move to ensure collection's safety and verifying chain of custody. *Completed: Summer, 2021*

#### FY21 ACCOMPLISHMENTS

- Relocated all collections into a NARA-approved storage facility for safekeeping during Mahan Hall renovation.
- Completed a collection-wide inventory, including 390 cubic feet of previously untracked unprocessed material.
- Secured 1500 sq. feet of annexed space to store and process the Naval Torpedo Station records, a collection unavailable to researchers since its accession in 1984.
- Partnered with NWC Foundation to complete inventory of all NHC accessions, loans, and deposits, digitizing documentation to provide clearer sightlines of provenance, custody, and ownership.



Naval Historical Collection Archives

**STRATEGIC GOAL 3:  
ACCESS AND OUTREACH**

**Reinforce the Archives' role in supporting the College's core mission and demonstrate its ability to integrate into the curriculum across disciplines.**

**Action 1.** Contribute to College-wide publications. *Ongoing*

**Action 2.** Develop greater visibility with the academic community by presenting at student orientation and creating a presence on Blackboard. *Completed: Fall, 2021*

**Action 3.** Collaborate with the Eccles' library for integration with the library's new discovery service, Primo, streamlining the College's access points for research material. *Completed: Fall, 2021*

**Action 4.** Engage with PAO to create any new avenues to raise awareness of NHC's research value and services.

**Action 5.** When appropriate, seek documentary evidence of underrepresented communities and subjects in current holdings for a more inclusive and diverse archives. *Ongoing*

**FY21 ACCOMPLISHMENTS**

- Accepted papers of the US military's highest ranking transgender officer, marking NHC's commitment to diversity and inclusionary storytelling.
- Archival assets integrated with Library resources via Primo.
- Presented at all student orientations as a singular resource, not embedded in another program or department.



Naval Historical Collection Archives

**STRATEGIC GOAL 4:  
RECORDS MANAGEMENT**

**Lead the College into DON records management compliance.**

**Action 1.** Publish command instruction to promote local implementation of DON RM program (SECNAINST 5210.8F). *Completed: Winter, 2021*

**Action 2.** Identify and coordinate with records custodians (RCs) in offices/departments across the College to perform a command records inventory.

**Action 3.** Generate command file plan derived from records inventory and agency records schedule.

**Action 4.** Propose Capstone Email retention program to be integrated with file plan.

**Action 5.** Coordinate with AA/DRMD and CIO/IRD to implement command's use of DON TRACKER Electronic Records Manager for both unstructured records and Capstone email records.

**FY21 ACCOMPLISHMENTS**

- Completed white paper regarding NWC's compliance in regards to mandated records management activities.
- Submitted NAVWARCOLINST 5212.2 - RECORDS MANAGEMENT PROGRAM, detailing the building blocks of a formal RM program for all College departments.
- Identified legal vulnerability in NHC's tradition of diverging from Navy Records Manual retention standards; working with SIA, General Counsel and DON/AA DRMD to provide a lawful and permanent solution.



## APPENDIX II OUTREACH AND PUBLICATIONS

Members of the HHC contributed to the furtherance of naval history and maritime studies in AY 2020-21 in the following ways:

### OUTREACH: EXTERNAL LECTURES, CONFERENCE, AND WORKSHOP PAPERS, ETC.

Panelist in IISS Conference on the past and present significance of the GIUK gap, London, June 2020. (GT)

Chair and commenter, "Manpower Policy and Reform during the Napoleonic Wars and their Aftermath," Society of Military History Annual Conference, 21 May 2021. (EW)

Interview for "The Mariner's Mirror Podcast," Society for Nautical Research, 16 March 2021. (EW)

Chair and Panelist 'European Responses to The Maritime Strategy' Conference at US Naval War College, March 2021. (GT)

Chair and Panelist, 'Maritime Security in Southeast Asia' for UK Foreign Office/ISEAS conference, London, March 2021. (GT)

Panel Participant, "Creating a Great Elective Course," U.S. Naval War College, 22 January 2021. (EW)

Keynote Address, "Mr. Kennedy's PT-59 and the Popular Myths of PT-109 in Literature and Media," at Battleship Cove for the Dedication of the PT-59 Exhibit (16 April 2021). (DK)

"Corbett 100 Lecture: The British Influence Upon American Visions of 'Sea Power' and History >> <https://www.youtube.com/watch?v=R7CMhXFF7Nk> << King's College London, War Studies Maritime History Series >> <https://www.youtube.com/c/WarStudiesKCL/videos> << (DK)

'Naval Futures' lecture for the Colombian Navy, July 2020. (GT)

Lecture (Virtual) Western Naval Historical Association (WHNA), "The Navy in Vietnam," 13 March 2021. (JTK)

Panelist on 'Russia and the sea' for IISS/EUCOM Conference, London, July 2020. (GT)

Lecture (Virtual)—American Geographical Society Conference, "Oceanic Chokepoints: A Geopolitical Discussion," 18 November 2020. (JTK)

<https://www.geography2050.org/vaultlibrary/oceanic-chokepoints-a-geopolitical-discussion> (JTK)

Lectures on 'Maritime Power in the 21st Century' to SESKOAL (Indonesian Defense University), Jakarta, Nov 2020. (GT)

"Inspiring American Sea Power: The U.S. Naval Mission in Peru and Alfred Thayer Mahan," at the Bi-National Forum: 100 Years of the American Naval Mission in Peru (17 August 2020) >> <https://www.diredumar.edu.pe/images/eventos/202008121952530499.pdf> << (DK).

'War at Sea in the Age of Sail: The Campaign of Trafalgar,' Redwood Library and Athanaeum, 28 October 2020. (EW)

'Smaller navies and the Post-Covid World: Future: Proofing navies for an unanticipated future' for Chilean navy/SOUTHCOM, Nov 2020. (GT)

Lecture 'Navies in a Sea of Troubles' at a Conference on Security in the Mediterranean, held in Istanbul, Turkey, Nov 2020. (GT)

Webinar/ZOOM lecture Dec 3, 2020 06:30 PM Eastern Time (US and Canada), The Army Navy Club Washington DC. Topic: ANC Webinar "Examining Pearl Harbor." (JTK)

'Developments in Maritime Strategy' Lecture and seminar package for NATO students, Belgian

Defense College, Brussels, November 2020. (GT)

“The British Battle of the Atlantic,” Eight Bells Lecture Series, U.S. Naval War College Museum, 14 August 2020. Canceled due to pandemic. (EW).

Moderator, AFLEX 2020, College of Leadership and Ethics, U.S. Naval War College.(EW)

‘Feeding Greyhounds: Commanders Ernest J. King and Chester W. Nimitz in Logistics and Oil Replenishment in the First World War and Beyond,’ lecture provided for the Naval War College Foundation (9 September 2020) >> <https://www.youtube.com/watch?v=rqXBwdlJMrQ> << (DK)

“Grippe Caught us Quicker than U-Boats,” lecture provided for the St. Francis Yacht Club in San Francisco, California (29 July 2020)  
>> [https://www.youtube.com/watch?v=TfZLaa7xqPk&fbclid=IwAR2b2CZ\\_JDf4daUIQLgV5quAJA4SAwHacxEVKpDBm1ZsdeYzy2fUwnios3c](https://www.youtube.com/watch?v=TfZLaa7xqPk&fbclid=IwAR2b2CZ_JDf4daUIQLgV5quAJA4SAwHacxEVKpDBm1ZsdeYzy2fUwnios3c) << (DK)

“Greyhound Movie Discussion,” North Atlantic Society of Oceanic History panel of historians compare the problems of myth and memory as portrayed by Hollywood and the literature of C.S. Forrester, *The Good Shepherd* (19 July 2020) >> [https://www.youtube.com/watch?v=Z5Jo6WR44Tw&feature=youtu&fbclid=IwAR2c1aalTaFlmQeJsoeYMNqs\\_SidOcxX-1pmxIR5CGdIlkosPS6QSFxx1k4](https://www.youtube.com/watch?v=Z5Jo6WR44Tw&feature=youtu&fbclid=IwAR2c1aalTaFlmQeJsoeYMNqs_SidOcxX-1pmxIR5CGdIlkosPS6QSFxx1k4) <<(DK)

“In Contact with the Enemy: The Nazi Spy and U.S. Navy Lieutenant John F. Kennedy,” at the Herreshoff Maritime Museum (19 March 2020) >> <https://www.youtube.com/watch?v=H9u18gh4zis> <<(DK)

Chair and Panelist on ‘The Maritime Future in the Indo-Pacific, RSIS Singapore Jan 2021. (GT)

Interviewed on the ‘Jeune Ecole’ by Research Group of the Royal Australian Navy , Jan 2021. (GT)

‘The Agency of the Small and Medium Power’ for Conference on Small Maritime Powers, King’s College London March 2021. (GT)

“The Making of Fleet Admiral Ernest King, Part 1: 1878-1941, An Interview with David Kohnen” in Preble Hall Episode 38 of the U.S. Naval Academy Museum (5 May 2020) >> <https://naval-history-lyceum.simplecast.com/episodes/the-making-of-fleet-admiral-ernest-king-part-i-1878-1941-jJTSjtX4?fbclid=IwAR3Y-ifNRS-HkLiXJLgkNli-smvPJHmZeiaQhpmxtot49Ss3K6-RRf09S1s> << (DK)

“The Making of Fleet Admiral Ernest King, Part 2:1941-1945, An Interview with David Kohnen” in Preble Hall Episode 39 of the U.S. Naval Academy Museum (6 May 2020) >><https://naval-history-lyceum.simplecast.com/episodes/the-making-of-fleet-admiral-ernest-king-part-ii-1941-1945-jJTSjtX4?fbclid=IwAR3Y-ifNRS-HkLiXJLgkNli-smvPJHmZeiaQhpmxtot49Ss3K6-RRf09S1s>

[history-lyceum.simplecast.com/episodes/the-making-of-fleet-admiral-ernest-king-pt-2-1941-1945-PnNX\\_PhI](https://naval-history-lyceum.simplecast.com/episodes/the-making-of-fleet-admiral-ernest-king-pt-2-1941-1945-PnNX_PhI) <<(DK)

Chair and Panelist for editorial conference on Maritime Cooperation in the Indo-Pacific, RSIS/ANCORS, Singapore March 2021. (GT)

‘Maritime Strategy: Current Issues and Future Development’, Lecture for National Defense College, Stockholm, May 2021. (GT)

Guest Lecturer in Professor Paul Kennedy’s undergraduate lecture course, “The Military History of the West Since 1500,” Yale University, 23 February 2021. (EW)

NWC Point person for organizing and collaborating with various Newport City officials and Newport Gulls baseball organization in the planning and execution of HHC’s WWI-era Army-Navy student baseball program known as “Cardines Classic.” (MF)

Piracy and Maritime Security: Global Perspectives (Atlantic Centre, Azores, May 2021. (GT)

‘Developments in Maritime Strategy’ lecture and seminar package for students at Singapore Armed Forces Training Institute, June 2021. (GT)

‘Naval Futures’ Recorded lecture for Naval Conference in Bangkok, Thailand, June 2021. (GT)

## PUBLICATIONS

Successful publication is another important task of the academics in the Research and Scholarship element of the HHC. The following list of submitted and published work is their record of achievement for AY 2020-21.

### 1 BOOKS

- ‘Multipolarity, Navies, and the Post-Cold War World’ in Paul Kennedy and Evan Wilson (eds) *Navies in Multipolar Worlds; From the Age of Sail to the Present* (London: Routledge, 2020). (EW)
- *The Battle of Rhode Island in 1778: The Official British View as Reported in The London Gazette*, (ed) (Newport: Stone Tower Press for the Rhode Island Society Sons of the Revolution, 2021).(JBH)

**Several books are in preparation for submission to publishers or are in the press for publication during AY 2021-2022:**

- *The Horrible Peace: Britain at the End of the Napoleonic Wars* (University of Massachusetts Press. (EW)
- *King’s Navy: Fleet Admiral Ernest J. King and the Rise of American Sea Power, 1897–1947*. (DK)
- *How to Grow a Navy: The Development of Maritime Power* for Routledge.(GT)
- *Maritime Cooperation in the Asia-Pacific* (joint ed) for Brill Publishers (GT)

- *Reflections on Naval History : Collected Essays* (JBH) [in press]
- *To The Java Sea: Selections from the Diary, Reports and Letters of Henry E. Eccles, 1940-42* (JBH) (PB) [in press]
- *The Diary of Lieutenant Colonel Charles Stuart during the Battle of Rhode Island, 1778.* (Newport: Stone Tower Press for the Rhode Island Society Sons of the Revolution, 2021).(JBH)

#### Longer term book projects underway:

- *Competing Navies and Maritime Strategies, 1652–1815.* (EW)(JBH)
- *The Routledge Handbook on the Pacific War.* (EW)
- *Henry Maydman and Naval Development.* (JBH)(GT)
- *Sailors and Scholars: The History of the Naval War College. 1984-2009,* revised and expanded two-volume edition. (JBH)
- *The Letters and Papers of Rear Admiral Stephen B. Luce.* (JBH) (PB)
- *Trafalgar in History and Memory.* (JBH)

#### 2 ARTICLES

- “The Monster from Elba: Napoleon’s Escape Reconsidered,” *The Mariner’s Mirror* 107, no. 3 (2021): 265–79.(EW)
- ‘Coping with COVID: An Agenda for the Royal Navy’ *Naval Review*, August 2020. (GT)
- ‘Four Paths to Influence for Smaller Navies’ for the *Irish Defense Journal*, November 2020. (GT)
- ‘The Strategic Significance of Islands’ Report for NISSCS, Hainan, China. (GT)
- “Carriers and Amphibs: Shibboleths of Sea Power,” *Journal of Advanced Military Studies* (Fall 2020), Marine Corps University. (JTK)
- Colin Gray: A Strategic Appreciation for *US Naval War College Review*, Spring 2021. (GT)
- “Force Structure Perspectives: Designing for the Long War,” published by the Center for *International Maritime Security*, 30 October 2020, at <http://cimsec.org/force-structure-perspectives-dr-john-t-kuehn-on-designing-for-the-long-war/46470>. (JTK)
- The U.S. Navy, China and Indo-Pacific: A Reset After Trump ? *Naval Review*, Spring 2021.(GT)
- “German Perspectives on the U-boat War, 1939–1941,” *Journal of Military History* 85, no. 2 (2021): 369–98. Written with Ruth Schapiro. (EW)
- ‘The British Are Coming Back - but can they sustain it ?’ *RSIS Commentary*, May 2021. (GT)
- ‘The British and the Limitations of Maritime Maneuver’ by the *US Naval War College Review*, Summer 2021. (GT)
- “Sobre la lectura académica profesional,” *Revista de Marina* (<https://revistamarina.cl/articulo/sobre-la-lectura-academica-profesional>). Written with Christopher Green. (EW)
- ‘Ticking the Mission Boxes; Force Structure and the Royal Navy’ for House of Commons Defence Committee enquiry into the State of the Royal Navy’ June 2021. (GT)
- ‘How to Read a Book,’ United States Naval Institute *Proceedings* (forthcoming). Written with Christopher Green. (EW)

#### 3 CHAPTERS

‘Le contre-amiral Henry B. Wilson et la marine des États-Unis en France (1917-1919).’ In Olivier Chaline and Olivier Forcade, eds., *L’engagement des Américains dans la guerre 1917-1918.* (Paris: Sorbonne Université Presses, 2020). (JBH)

‘Multipolarity, Navies, and the Post-Cold War World’ in Paul Kennedy and Evan Wilson (eds) *Navies in Multipolar Worlds; From the Age of Sail to the Present* (London: Routledge, 2020). (GT)

“The American: The Adventures of U.S. Naval Reserve Captain Harold Bartley Grow in Peru, 1923-1930” (Chapter forthcoming with Peruvian Naval History Division). (DK)

“Soldiers versus Veterans: Peacemaking in Britain after Napoleon.” In *The Role of the Military in Peacekeeping: A Historical Perspective*, edited by B.A. de Graaf, Thomas Vaisset, and Frédéric Dessberg (forthcoming with Bloomsbury Academic). (EW)

“Our Navy, The Peacemaker,” in John B. Hattendorf and William P. Leeman, eds., *Forging the Trident: Theodore Roosevelt and the United States Navy* (Naval Institute Press, 2020). (DK)

“Foreword” to *Conceptualizing Maritime & Naval Strategy: Festschrift for Captain Peter M. Swartz, United States Navy, retired*, edited by Sebastian Bruns and Sarandis Papadopoulos.(Baden-Baden, Germany: Nomos, 2020). (JBH)

‘The Accidental Dialectic: The Real World and the Making of Maritime Strategy Since 1945’ in Sebastian Bruns and Randy Papadopolis, (eds) ‘The ‘*Conceptualizing Maritime and Naval Strategy*’ (Baden-Baden, Germany: Nomos, 2020). (GT)

‘Future- Proofing Navies’ in *Proceedings of the VI International Ship Design and Naval Engineering Congress* (CIDIN) and XXVI Pan-American Congress of Naval Engineering, Maritime Transportation and Port Engineering (COPINAVAL) (Springer Mar 2021). (GT)

“A King’s Navy: The First World War Education of Ernest J. King,” in Chris Rentfrow, ed., *New Interpretations in Naval History: Proceedings of the McMullen Naval History Symposium for 2015* (Naval War College Press, 2021). (DK)

“Practices: Translating Oral Traditions and Practical Experiences into Print.” In *The Cultural History of the Early Modern Sea*, edited by Steven R. Mentz. (London: Bloomsbury, 2021.) (JBH)

#### Book Chapters submitted for publication in AY 2021-22.

‘The Peruvian navy, past and present’, Preamble for Commemorative book for its 200th Anniversary of the Peruvian Navy.(June 2021)(GT)

‘Maritime Strategy, ‘Good Navies and Realism Re-imagined’ in Ruxandra-Laura Bosilca,

Susana deSousa Ferreira and Barry J Ryan Routledge *Handbook on Maritime Security*. (London: Routledge, 2022)(GT)

‘British and American reactions to the Imperial Japanese Navy build up in the 1930s’ in Dr. S.C.M. Paine, editor, *Fleets in Being*. Tentatively Georgetown University Press, 2022. (JTK)

‘Islands, Amphibious operations and the 21st Century’ for forthcoming volume by Corbett Centre, KCL and Brazilian Naval College ed G. Kennedy, London: Ashford, 2022)(GT)

‘Seapower in Combination : Medium Navies and the problems of Cooperation’ in Steve Haines and James Goldrick, Hill Book (Barnsley: Boydell Press, 2022)(GT)

‘Maritime Security and the Gulf’ in Howard Hensel (Ed) *The Gulf and the Arabian Peninsula: Contemporary Security Dynamics* (London: Ashgate, 2022)(GT)

“Landstigningen i Humlebaek 1700 – Karl XII:s första seger” with Carl-Johan Hagman. In: Gustav von Hofsten, red., *I Fred och Örlog: Svensk och Engelsk Sjömakt under 500 År. En Antologi*. (Göteborg, Sverige: Breakwater Publishing, 2021) (JBH)

**4 BOOKLETS COMMISSIONED BY THE NAVAL WAR COLLEGE FOUNDATION AND THE PERUVIAN NAVY**  
*Feeding Greyhounds: Fuelling Alliances in the U.S. Navy in the Era of the First World War* (Naval War College Foundation Booklet, 2021). (DK)

*Grippe Caught us Quicker than U-Boats: The Lingering Sickness of War and the Pandemic of 1918-1920* (Naval War College Foundation Booklet, 2020). (DK)

*The Most Interesting Man in the World: The Adventures of U.S. Naval Reserve Captain Harold Bartley Grow, 1908-1948* (Booklet forthcoming from Peruvian Naval History Division). (DK)

#### **5 BOOK REVIEWS**

*To Provide and Maintain a Navy: Why Naval Primacy is America’s First, Best Strategy*, by Henry J. Hendrix (Annapolis, MD: Focsle Books, 2020), published by the Michigan War Studies Review (MIWSR) at <http://www.miwsr.com/2021-043.aspx> (JTK)

*The U.S. Navy and Its Cold War Alliances, 1945–1953*, by Corbin Williamson, *Modern War Studies* (Lawrence: University of Kansas Press, 2020), in *Journal of Military History*, (JTK)

Peer Review of article on ASW for the *International Journal of Naval History*, Oct 2020, (GT)

Review essay on Lars Celander, *How Carriers Fought: Carrier Operations in World War II* and Mark Stille, *US Navy Ships vs Japanese Attack Aircraft 1941-42* for the *Naval Review*, Oct 2020. (GT)

*Beyond Pearl Harbor: A Pacific History*, edited by Beth Bailey and David Farber, in *Journal of Military History*, (JTK)

Review of article on International Fishing Disputes for (Indonesian) *Journal of Public Policy*, Oct 2020. (GT)

*Strike from the Sea: The Development and Deployment of Strategic Cruise Missiles Since 1934*, Polmar, Norman, and John O’Connel (Annapolis, MD: Naval Institute Press, 2020), in *History: Reviews of New Books*. (JTK)

‘Ideologies of Western Naval Power, c. 1500–1815’, edited by J.D. Davies, Alan James, and Gijs Rommelse, in the *International Journal of Maritime History*. (EW)

‘Life on the Lower Deck: Finding the Real Jack Tar,’ Review of Stephen Taylor, *Sons of the Waves: The Common Seaman in the Heroic Age of Sail*. In *The Times Literary Supplement* [TLS], no. 6125/6126 (August 21-28 2020). (JBH)

‘Sons of the Waves: The Common Seaman in the Heroic Age of Sail’, by Stephen Taylor, in the *Naval War College Review* (forthcoming). (EW)

Review Essay “Naval History of World War II: Big History, Little History,” in *History: Reviews of New Books*, of Evan Mawdsley, *The War for the Seas: A Maritime History of World War II* (New Haven, CT: Yale University Press, 2019): and George J. Billy, *The USS Swordfish: The World War II Patrols of the First American Submarine to Sink a Japanese Ship* (Jefferson, NC: McFarland Books, 2019). (JTK)

‘Inside the U.S. Navy of 1812–1815, by William S. Dudley, in the *Canadian Journal of History* (forthcoming). (EW).

The Kremlin Letters, David Reynolds and Vladimir Pechatnov, eds., *Naval War College Review*, vol. 73, no. 4 (Autumn 2020), pp. 167-168. (JBH)

Review of Alan Frost, *Mutiny, Mayhem, Mythology: Bounty’s Enigmatic Voyage*. In *Terrae Incognitae*, vol. 53, no.1 (2021), pp. 71-72. (JBH)

Review of Jim Tildesley, ‘I am Determined to Live or Die on Board my Ship.’ *The Life of Admiral Inglis: An American in the Georgian Navy*. In *Naval War College Review*, vol. 74, no. 2 (Spring 2021), pp. 169-171. (JBH)

Review of Scott D. Ayler, ed., *Letters of Henry Martyn: East India Company Chaplain*. In *Anglican and Episcopal History*, vol. 90, no. 2 (June 2021), pp. 180-181. (JBH)

## 6 OTHER PUBLICATIONS

2020 Command Operations Report” provided the raw material upon which future analysis of individual Navy unit operations will be based, by presenting the primary activities of the Naval War College for the preceding calendar year. The report contains all pertinent data regarding the command organization, administration, personnel, curriculum, activities, recurring or annual reports, policy statements, brochures, and articles relating to the command. (MF)

“The 2020 Annual Conference of the North American Society for Oceanic History (NASOH), *Topmasts*, no. 35 (August 2020) for the Society of Nautical Research. (JBH)

“In Memoriam: Harold David Langley (1925-2020): Naval, Diplomatic, and Church Historian.” In *Catholic Historical Review*, vol. 106. No. 4 (Autumn 2020) (JBH)

## APPENDIX III

**John T. Kuehn, Ph.D. tenure as Fleet Admiral Ernest J. King Professor of Maritime History, Hattendorf Historical Center, U.S. Naval War College, August 2020 – August 2021.**

The period of my tenure at the Naval War College was coincident with the COVID-19 pandemic. After reporting to Newport in August of 2020, I returned to my home in Missouri where I performed the bulk of my duties virtually, including all of my writing and research. It was only in May of 2021 that I attended an in-person conference of the Society of Military History in Norfolk Virginia as part of a contingent from the Naval War College.

The Hattendorf Historical Center performs a vital duty to the Navy and is unique among Professional Military Education institutions in its functions and value. It is especially valuable to the larger U.S. Navy as an advocate for naval historical research, both for applied history as well as the inherent value of more traditional forms of scholarship.

### 1 PUBLIC LECTURES GIVEN

- Lecture (Virtual) Western Naval Historical Association (WHNA), “The Navy in Vietnam,” 13 March 2021.
- Lecture (Virtual)—American Geographical Society Conference, “Oceanic Chokepoints: A Geopolitical Discussion,” 18 November 2020. <https://www.geography2050.org/vaultlibrary/oceanic-chokepoints-a-geopolitical-discussion> (accessed 11-18-20).
- Webinar/ZOOM lecture Dec 3, 2020 06:30 PM Eastern Time (US and Canada), The Army Navy Club Washington DC. Topic: ANC Webinar “Examining Pearl Harbor” with Dr. John Kuehn.

### 2 BOOKS

No books completed and published but I did sign a contract for a book to be published by Naval Institute Press in 2022 in their *Essentials of Strategy Series* entitled *The Pacific War -1941-*

1945, manuscript due publisher March 2022. Research ongoing.

### 3 ARTICLES

- “Carriers and Amphibs: Shibboleths of Sea Power,” *Journal of Advanced Military Studies* (Fall 2020), Marine Corps University: 106-118.
- “Force Structure Perspectives: Dr. John T. Kuehn on Designing for the Long War,” published by the Center for International Maritime Security, 30 October 2020, at <http://cimsec.org/force-structure-perspectives-dr-john-t-kuehn-on-designing-for-the-long-war/46470> (accessed 10/30/2020)
- During the time I served I also submitted at least six other manuscripts for articles to various publishers. Some are still under consideration with the U.S. Naval Institute *Proceedings*, *Naval War College Review*, and *Foreign Affairs*.

### 4 CHAPTERS

Completed chapter for anthology to be published (tentatively) by Georgetown University Press, Dr. S.C.M. Paine, editor, on *Fleets in Being*, my chapter looks at British and American reactions to the Imperial Japanese Navy build up in the 1930s and examines them as possible examples of fleets in being.

Professor Paine is putting together another anthology, *Handbook of World War II in the Pacific*. I have agreed to author a chapter entitled “Teaching War.”

### 5 BOOK REVIEWS

*To Provide and Maintain a Navy: Why Naval Primacy is America’s First, Best Strategy*, by Henry J. Hendrix (Annapolis, MD: Focsle Books, 2020), published by the Michigan War Studies Review (MIWSR) at <http://www.miwsr.com/2021-043.aspx> (2021).

*Strike from the Sea: The Development and Deployment of Strategic Cruise Missiles Since 1934*, Polmar, Norman, and John O’Connel (Annapolis, MD: Naval Institute Press, 2020), in *History: Reviews of New Books*, 49:2 (2021), 34-34.

*The U.S. Navy and Its Cold War Alliances, 1945–1953*, by Corbin Williamson, *Modern War Studies* (Lawrence: University of Kansas Press, 2020), in *Journal of Military History*, Vol.85, no. 1 (January 2021): 268-270.

*Beyond Pearl Harbor: A Pacific History*, edited by Beth Bailey and David Farber, in *Journal of Military History*, Vol. 84, no. 4 (October 2020): 1259-1260.

Review Essay “Naval History of World War II: Big History, Little History,” in *History: Reviews of New Books*, 48:5 (October 2020), 123-124, of Evan Mawdsley, *The War for the Seas: A Maritime History of World War II* (New Haven, CT: Yale University Press, 2019); and George J. Billy, *The USS Swordfish: The World War II Patrols of the First American Submarine to Sink a Japanese Ship* (Jefferson, NC: McFarland Books, 2019).

## 6 OTHER MISCELLANEOUS PUBLICATIONS AND ACTIVITY

The following manuscripts reviews were completed during the period of my tenure. Author, Editor, Publisher and length (book or article):

- Edward J. Marolda, *Admirals Under Fire: The U.S. Navy and the Vietnam War*, Texas Tech University Press (Book)
- John Maurer and Erik Goldstein, editors, *Great-Power War in Asia and the Pacific*, Naval Institute Press (Book)
- Vincent O'Hara, *Six Technologies: Innovation and Combat at Sea*, Naval Institute Press (Book)
- Jonathan M. House, *Intelligence and the State: Analysts and Decision Makers*, Naval Institute Press (Book)
- David Kohlen, *King's Navy: Fleet Admiral Ernest J. King and the Rise of American Sea Power, 1897–1947*, no publisher yet (Books, three volumes, first volume reviewed and edited).
- Blind Review, “Clausewitz and Strategic Deficit,” for *Joint Force Quarterly* (article)
- S.C. M. Paine and Anand Toprani, editors, *The Strategy of Sanctions*, Naval Institute Press (Book Concept review).

### Blogs

Authored monthly H-War “Handgrenade of the Month” BLOG essays for H-NET. See: <https://networks.h-net.org/node/12840/blog/Hand%20Grenade%20of%20the%20Week>

### Documentaries and Films

Filmed over two hours of interview questions for upcoming Australian Documentaries by Wild Bear Entertainment, 07 May 2021, topics included Imperial Japanese Navy in World War II, World War II in the Pacific, and Submarine Warfare.

### Magazine Editing

Currently serving as editor for an upcoming special issue of *Open Military Studies* magazine by De Gruyter Publishing with the theme “It All Began at Pearl Harbor: The Allied Japanese Struggle in the Pacific.” Reviewing abstracts for article submissions at this time.

## 7 CONFERENCE PAPERS GIVEN/SERVICE ON CONFERENCE PANELS

Have had 2 papers accepted for presentation at McMullen Naval Symposium at Annapolis in September 2021:

- “British Reaction to Japanese Naval Threat in Far East”
- “Zumwalt, Holloway, and the Soviet Navy Threat”

Served as Commentator for two Panels at the Society of Military History in Norfolk, May 2021.

- Commentator/Chair-Panel, “The War in the Pacific,” Society of Military History Annual Conference, Norfolk Virginia, 22 May 2022
- Commentator-Panel, “Quiet Revolution,” Society of Military History Annual Conference, Norfolk Virginia, 22 May, 2022

## 8 CLASSES TAUGHT

- Taught new elective for NWC, Great Campaigns of the Greater East Asia War, 1937-1945, 771B, Spring 2021
- Served as chair for LTC Josh Fogle, student paper for the GSMH program, entitled “Advanced Base Defense Doctrine, War Plan Orange, and Preparation at Midway: Were the Marines Ready?”
- Served on GCMH committees for two other students and for their defenses.
- Served on Hattendorf Historical Center (HHC) hiring panel that eventually resulted in the hiring of Dr. Ryan Wadle to join HHC.

## APPENDIX IV

### Commander Christopher Green Vaccarezza, Chilean Navy: Haul Down Report

This short report summarizes my experiences as a fellow in the Hattendorf Center for Maritime Historical Research, why I selected this path, and the main reasons I think this program is a valuable experience for the Naval War College and the Chilean Navy.

The Chilean Navy sends officers to the Naval Command Course regularly since the beginning of the program. In the last decades, most students have been allowed to stay as fellows for a second year at the NWC. During the early years, students usually choose to teach at the JMO department. Since 2016, most of them shifted to the Humanitarian Response Program, considering the natural characteristics of Chile and the role of its armed forces during natural disasters. From a personal perspective, before joining the NWC, one of my tasks was to write some lectures for the Chilean Navy Commander-in-Chief, providing young sailors with arguments to understand why we have a Navy. Also, we aimed at the business and political sector, explaining the importance of the service, something that we, sailors, usually take for granted.

With that previous job, when I learned about the Graduate Certificate in Maritime History, I saw an opportunity to deepen my knowledge about the role of navies in societies. After finishing my student year, I naturally saw a fellowship in the HHC as the natural step for my second year.

During this period, the main focus of my fellowship was to rewrite the Chilean Naval Doctrine, tasked by the Navy, to publish in Chile an extended version of my GCMH thesis, related to the country's condition of maritime power, to publish essays related to the topic in the Chilean Navy journal and eventually in U.S. journals, and most important to interact with professors such as Geoffrey Till, John Hattendorf, which were the authors of my foundational books to Maritime History, understanding their perspectives and thinking on naval and maritime matters.

To say that the last year was one of the highlights of my naval career would fall short. I had the lifetime opportunity to interact with probably the most distinguished group of scholars I have ever met. I was able to learn from them and co-author with Dr. Evan Wilson publishing papers related to the matter. After two tenures as a student in two different war colleges, the Chilean and the NWC, the HHC provided me with a broader perspective on the importance of having a Navy and its role in society. It gave me more arguments that will hopefully support the constant need for Navy officers to tell the general public and their political masters why navies continue to be relevant; from a personal perspective, this topic is one of the most relevant of the HHC. While it is true that in my previous student years, I was able to develop a coherent maritime narrative, it was my last year at this center that help me to develop a deeper understanding of the subject not only from a Navy perspective, but form multiple dimensions, including society, politics, and economics, among others.

If I may, I would strongly suggest keeping the fellowship open for both U.S. and foreign officers. They will obtain a deeper understanding of whichever maritime aspect they are interested in, helping to expand maritime affairs outside academia. The HHC will also benefit from their knowledge of current naval affairs, hopefully creating a circle of value. The impact of the HHC was so deep that one of my proposals for my war college was to create something similar embedded in the Chilean naval war college that might balance the operational side of it with an historical perspective.

## APPENDIX V STAFF BIOGRAPHIES

**Pelham Boyer**, Volunteer, HHC. Pel is assisting John Hattendorf in preparing several of his research projects for publication. He was managing editor of the Naval War College Press from 1991 until his retirement in 2015. He was commissioned in 1972 by the NROTC Unit at Cornell University, where he earned a BA in American history. He served at sea as a surface warfare officer, on exchange duty as Refit Project Officer at Ship Repair Unit Atlantic, Halifax, Nova Scotia, and in the Office of Naval Intelligence Detachment at the Naval War College until retirement from active duty in 1992. He holds an MA (1983) in national security affairs (intelligence) from the Naval Postgraduate School in Monterey, California.

**Elizabeth Delmage**, Archivist, NHC. Elizabeth assists with archival processing, collection management and preservation, and providing reference services to researchers. Before coming to the Naval War College, Elizabeth held positions at the Redwood Library & Athenaeum, the New York Public Library, Georgia Historical Society, and the Rhode Island Historical Society. She holds degrees from Providence College and the University of Rhode Island.

**Mark Fiorey**, Assistant Professor, HHC and NWC Command Historian. Mark's area of focus is Asia-Pacific. His current primary research examines the problems of reconstruction and issues of national identity in examining Vietnamese independence after two world wars and into the

Cold War era. Following thirty years active service in the U.S. Navy, he retired as a Command Master Chief (CMC) and Master Training Specialist with now over twelve years of teaching experience. He holds an M.A. in Defense and Strategic Studies from the U.S. Naval War College.

**John B. Hattendorf**, Senior Advisor, HHC, and Ernest J. King Professor Emeritus of Maritime History. While holding the E. J. King chair from 1984 to 2016, he was chairman of the Naval War College's Advanced Research Department from 1986 to 2003, then chairman, Maritime History Department, and director of the Naval War College Museum, from 2003 to 2016. After his retirement from the Civil Service in 2016, the Secretary of the Navy reappointed him, on a parttime basis, as a Highly Qualified Expert (HQE). A former naval officer, he holds degrees in history from Kenyon College (A.B., 1964), Brown University (A.M., 1971), and the University of Oxford (D.Phil., 1979; D.Litt., 2016). His awards include the Anderson Medal for Lifetime Achievement from the Society of Nautical Research (2017), the U.S. Navy's Distinguished Civilian Service Medal (2016) and Superior Civilian Service Medal (2006, 2016), the ALA's Dartmouth Medal (2007), and the Caird Medal of the National Maritime Museum, Greenwich (2000). He is author or editor of more than 50 books, including the *Oxford Encyclopedia of Maritime History* (2007).

**David Kohnen**. Having earned a Ph.D. with the Laughton Professor of Naval History in the War Studies Department at the University of London (King's College London), David edited *21st Century Knox: Influence, Sea Power, and History for the Modern Era* (Naval Institute Press, 2016). In his previous work, David focused on the transatlantic alliance between the British Empire and United States in *Commanders Winn and Knowles: Winning the U-Boat War with Intelligence* (Enigma Press, 1999). David's current project examines the underlying influence of history upon American concepts of "sea power" in a series of forthcoming books on William S. Sims, combat intelligence in the Second World War, and Ernest J. King.

**John T. Kuehn** currently serves as the Fleet Admiral Ernest J. King Visiting Professor of Maritime History for the Hattendorf Historical Center at the U.S. Naval War College. He retired from the U.S. Navy in 2004 after 23 years, serving as a naval flight officer. He authored *Agents of Innovation* (2008), *A Military History of Japan: From the Age of the Samurai to the 21st Century* (2014), *Napoleonic Warfare: The Operational Art of the Great Campaigns* (2015), *America's First General Staff: A Short History of the Rise and Fall of the General Board of the Navy, 1900-1950* (2017) and co-authored *Eyewitness Pacific Theater* (2008) with D.M. Giangreco as well as numerous articles and editorials and was awarded a Moncado Prize from the Society for Military History in 2011. His latest book from ABC-CLIO is *The 100 Worst Military Disasters in History* (2020), co-authored with David Holden.

**Ryan Meyer**, Managing Director, Naval War College Museum. Ryan has worked for the museum for three years. Originally from Du Quoin, Illinois, Ryan is a veteran of the U.S. Army and Mississippi National Guard. He received his B.A. in History from Southern Illinois University at Carbondale (SIU-C) and his M.A. in Museum Studies from The Johns Hopkins University.

As the Managing Director, Ryan has the responsibility for the day-to-day administrative management of the Museum, its collections, exhibits, public programming, and educational programs.

**Stacie Parillo**, Head Archivist, NHC. Stacie is responsible for the overall strategic planning and stewardship of the NHC and NWC records. She also serves on the Collections Committee at the Newport Historical Society and is a Member of the Rhode Island Historical Records Advisory Board. She holds degrees from the University of Massachusetts, Suffolk University, and Simmons University.

**Geoff Till** holds the Dudley W. Knox Chair for Naval History and Strategy at the US Naval War College. He is also Emeritus Professor of Maritime Studies at King's College London and Chairman of the Corbett Centre for Maritime Policy Studies. Once Dean of Academic Studies at the UK Joint Services Command and Staff College, he is author of nearly 300 books, chapters and articles. Since 2009 he has been a Visiting Professor, Senior Research Fellow and Advisor at the Rajaratnam School of International Studies, Singapore. His *Understanding Victory: Naval Operations from Trafalgar to the Falklands* was published by ABC-CLIO in 2014 and a fourth edition of his *Seapower: A Guide for the 21st Century* in 2018 and an edited version of Bo Hu's Chinese Maritime Power in the 21st Century in 2019. His *How to Grow a Navy: The Development of Maritime Power* is currently being published by Routledge.

**Ryan Wadle** is an Associate Professor who joined the Hattendorf Historical Center in April 2021. He previously worked at Air University's eSchool of Graduate Professional Military Education, where he served as the Director of Research and taught courses on airpower, technology, and the history and theory of sea power. He also previously worked as part of the Afghanistan Study Team at the U.S. Army's Combat Studies Institute. He is the author of the book *Selling Sea Power: Public Relations and the U.S. Navy, 1917-1941* from the University of Oklahoma Press and the recipient of a Vandervort Prize for his article, "Failing to Speak the Same Language: The Roots of 'Jointness' in the United States, 1919-1941," in the October 2020 issue of the *Journal of Military History*. He is currently working on a biography of Admiral Harry Yarnell.

**Evan Wilson** is an assistant professor in the Hattendorf Historical Center. He researches the naval history of Britain and other countries from the eighteenth to the twentieth centuries. He is the author or editor of five books, most recently *Navies in Multipolar Worlds: From the Age of Sail to the Present* (Routledge, 2020), which he edited with Paul Kennedy. In 2018, he won the Sir Julian Corbett Prize in Modern Naval History for an article based on research using the U.S. Naval War College historical collection. Before coming to Newport, he was the associate director of International Security Studies at Yale University. He holds degrees from Yale, Cambridge and Oxford.



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